PTO/SB/82 (10-00)

#8 #10 5-13-02

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/842,547

4/26/01

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Applicati n Number

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I am the: ☐ Applicant/Inventor. ☐ Assignee of record of the each of the eac								
SIGNATUI	RE of Applicant o	r Assign	ee of Recor	rd				
Name R. Kerry Row University a	t Kingston	f of A	ssignee	, Que	en's		·	
Signature 52 llemy Love Date 24/2/02								
NOTE: Signatures of all the inventors or a Submit multiple forms if more than one sign			erest or their re	presenta	tive(s) are	required.		
Total of forms are submitted.				• • •			1	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. WC 9037852 v1

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PTO/SB/96 (08-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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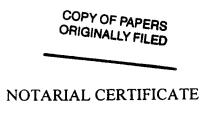
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Attorney Docket No. 10692V-000520US

1	STATEMENT U	JNDER 37 CFR 3.73(b)	
Į	Applicant/Patent Owner: Que n's University at Kingston	1	<u></u>
ľ	ກ ກ ກ Application No./Patent No.: 09/842,547	Filed/Issue Date: April 26,2001	
I	Entitled: Formulations and Methods of Using Nitric Oxide		
ş	Y		
l	(Name of Assignee) (Type of	<u>NIVERSITY</u> of Assignee, e.g., corporation, partnership, university, gove	mment agency etch
l	states that it is:		HECEIVED
١	1. 🛛 the assignee of the entire right, title, and int	terest; or	APR 2 4 2002
	2. an assignee of an undivided part interest		1 2002
I	in the patent application/patent identified above by virtue	e of either:	TECH CENTER 1600/2900
	A. An assignment from the inventor(s) of the patent a recorded in the Patent and Trademark Office at Re		ent was
I	OR		
	B. A chain of title from the inventor(s), of the patent a shown below:	application/patent identified above, to the current	t assignee as
	From: <u>Charles H. Graham and Lynne-Marie</u> Postovit	To : Queen's University at Kingston	
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	From: Michael A. Adams and Jeremy P.W. Heaton	To : Imajh Corporation	
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	From: Imajh Corporation The document was recorded in the United St Reel, Frame, or for which a cop		
	☐ Additional documents in the chain of title are	listed on a supplemental sheet.	
	□ Copies of assignments or other documents in the chain to the copy (i.e., the original assignment must be submitted to Assignment Division in accordance recorded in the records of the USPTO. See MPEP 30.	nt document or a true copy of the original document ance with 37 CFR Part 3, if the assignment is to	
	The undersigned (whose title is supplied below) is empo	owered to sign this statement on behalf of the ass	signee.
	February <u>26,</u> 2002 Date	Be llenn some Signature	
		R. Kerry Rowe	
		Typed or printed name	
		Vice Principal (Res	search)
1		Title	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





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AFR 2 4 2002

TECH CENTER 1600/2900

I certify that I have compared the attached copy with the original Assignment

dated

10 September 2001 and 5 October 2001

from

Charles H. Graham and Lynne-Marie Postovit

to

Queen's University at Kingston

and that the said copy is a true copy of the original.

Stephen J. Scribner Notary Public Province of Ontario

Stephen Joseph Scribner, Notary Public, Frontenac Board of Management, limited to the attestation of instruments and the taking of affidavits, for PARTEQ R & D Innovations.

Expires January 9, 2004

ASSIGNMENT

We, Charles H. GRAHAM and Lynne-Marie POSTOVIT, whose full post office addresses are 753 Allum Avenue, Kingston, Ontario, K7M 7A3, Canada; and 930 Rainbow Crescent, Kingston, Ontario, K7K 7J2, Canada; respectively, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell and assign to Queen's University at Kingston, a non-profit educational corporation, whose full post office address is Kingston, Ontario, K7L 3N6, Canada (hereinafter Queen's) all our rights, title and interest, including priority rights, in and to our invention relating to Formulations and Methods of Using Nitric Oxide Mimetics Against a Malignant Cell Phenotype as set forth in the application for Letters Patent of the United States of America, filed on 26 April 2000, Serial No. 60/199,757 application for Letters Patent of the United States of America, filed on 21 March 2001, Serial No. 60/277,469, International Patent Application, filed on 26 April 2001, Serial No. PCT/CA01/00566, and application for Letters Patent of the United States of America, filed on 26 April 2001, Serial No. 09/842,547, in and for the United States of America, Canada, and all countries foreign thereto.

We hereby covenant and agree that we will execute or procure any further necessary documents of the title to said invention and Letters Patents; and that we will, at any time, upon the request and at the expense of Queen's, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor, to Queen's, we will, at any time, upon the request and at the expense of Queen's, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid Queen's, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of Queen's, its successors, assigns or other legal representatives.

And we hereby authorize and request the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said applications, or from any division, reissue or extension thereof, to Queen's as our sole assignee.

In testimony whereof I have hereunto set my hand:
this 10th day of September, 2001
Ofenlan
Charles H. GRAHAM
In testimony whereof I have hereunto set my hand: this 5 th day of October, 2001
Rynne - Marie Postovit Lynne-Marie POSTOVIT

CERTIFICATE

City of Kingston)
Province of Ontario)

	1 -		Cla	1-0	
On this	10	day of	Senter	200	2001 appeared before me in person
the above _Char	es H. Gra	ham and a	cknowledge	d the ab	ove to be his signature, and that he
signed and deliver	ed the abo	ve instrume	nt as his vol	untary a	ct and deed for the purposes herein
set forth.					

Stephen J. Scribner Notary Public Province of Ontario

Stephen Joseph Scribner, Notary Public, Frontenac Board of Management, limited to the attestation of instruments and the taking of affidavits, for PARTEQ R & D Innovations.

Expires January 9, 2004

City of Kingston)			
Province of Ontario)		•	
On this	5	day of	Ochober	
On this		day or	-000	2001 appeared before me in person
the above Lynne-M	arie Pos	tovit and a	cknowledged the	e above to be her signature, and that she
signed and delivered	the abov	e instrume	nt as her voluntar	ry act and deed for the purposes herein

set forth.

Stephen J. Scribner Notary Public Province of Ontario

Stephen Joseph Scribner. Notary Public.
Frontenac Board of Management, limited to the attestation of instruments and the taking of affidavits, for PARTEQ R & D Innovations.

Expires January 9, 2004

NOTARIAL CERTIFICATE

I certify that I have compared the attached copy with the original Assignment					
dated	24August 2001				
from	IMAJH Corporation				
to	Queen's University at Kingston				
and that the said copy is a true copy of the original.					

Stephen J. Scribner Notary Public Province of Ontario

Staphen Joseph Scribner, Notary Public, Frontenac Board of Management, limited to the attestation of instruments and the taking of affidavits, for PARTEQ R & D Innovations, Expires January 9, 2004

ASSIGNMENT

The undersigned IMAHJ CORPORATION, a corporation incorporated under the laws of the Province of Ontario, Canada, whose full post office address is 341 Renda Street, Kingston, Ontario, K7M 5X9, Canada (hereinafter "IMAJH") in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, do hereby sell and assign to Queen's University at Kingston, a non-profit educational corporation, whose full post office address is Kingston, Ontario, K7L 3N6, Canada, (hereinafter Queen's), all IMAJH's rights, title and interest, including priority rights, in and to the invention relating to Formulations and Methods of Using Nitric Oxide Mimetics Against a Malignant Cell Phenotype as set forth in the application for Letters Patent of the United States of America, filed on 26 April 2000, Serial No. 60/199,757, application for Letters Patent of the United States of America, filed on 26 April 2001, Serial No. 60/277,469, International Patent Application, filed on 26 April 2001, Serial No. PCT/CA01/00566, and application for Letters Patent of the United States of America, filed on 26 April 2001, Serial No. 09/842,547, in and for the United States of America, Canada, and all countries foreign thereto.

The undersigned hereby covenants and agrees that IMAJH will execute or procure any further necessary documents of the title to said invention and Letters Patent; and that IMAJH will, at any time, upon the request and at the expense of Queen's, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention, or any Letters Patent which may be granted therefor, to Queen's; IMAJH will, at any time, upon the request and at the expense of Queen's, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof; and IMAJH will make all rightful oaths and generally do all lawful acts to aid Queen's, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of Queen's, its successors, assigns or other legal representatives.

And IMAJH hereby authorizes and requests the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said applications, or from any division, reissue or extension thereof, to Queen's as IMAJH's sole assignee.

In testimony whereof I have hereunto set my hand:

is ____ day of

IMAJH CORPORATION

Jeremy P.W. Heaton

President

CERTIFICATE

City of Kingston

Province	of Ontario) [*]					
before m	ie in perso	n and ack	nowledged	the abo	ve to be	his signature, an	.W. Heaton appeared d that he signed and sherein set forth.

Stephen J. Scribner Notary Public Province of Ontario

Stephen Joseph Scribner, Notary Public, Frontened Board of Management, limited to the attestation of instruments and the taking of affidavits, for PARTEQ R & D Innovations.

Expires January 9, 2004

NOTARIAL CERTIFICATE

I certify that I have compared the attached copy with the original Assignment					
dated	21 August 2001 and 24 August 2001				
from	Michael A. Adams and Jeremy P.W. Heaton				
to IMAJH Corporation					
and that the said copy is a true copy of the original.					

Dated at Kingston, Ontario this 26 day of Ething, 2002.

Stephen J. Scribner Notary Public Province of Ontario

Stanhen Johanh Scribner, Notary Public, Frontenac Board of Management, limited to the attacked of instruments and the taking of affidavits, Expires January 9, 2004

ASSIGNMENT

We, Michael A. Adams, whose full post office address is 341 Renda Street, Kingston, Ontario K7M 5X9, Canada, and Jeremy P.W. Heaton, whose full post office address is 1818 MacAlpine Road, R.R. # 3, Gananoque, Ontario, K7G 2V5, Canada, for good and valuable consideration, (the receipt and sufficiency of which is hereby acknowledged), hereby sell and assign to IMAHJ CORPORATION, a corporation incorporated under the laws of the Province of Ontario, Canada, whose full post office address is 341 Renda Street, Kingston, Ontario, K7M 5X9, Canada (hereinafter "IMAJH") all of our right, title and interest, including priority rights, in and to our invention relating to Formulations and Methods of Using Nitric Oxide Mimetics Against a Malignant Cell Phenotype as set forth in the application for Letters Patent of the United States of America, filed on 26 April 2000, Serial No. 60/199,757, application for Letters Patent of the United States of America, filed on 21 March 2001, Serial No. 60/277,469, International Patent Application, filed on 26 April 2001, Serial No. PCT/CA01/00566, and application for Letters Patent of the United States of America, filed on 26 April 2001, Serial No. 09/842,547, in and for the United States of America, Canada, and all countries foreign thereto.

We hereby covenant and agree that we will execute or procure any further necessary documents of the title to said invention and Letters Patents; and that we will, at any time, upon the request and at the expense of IMAJH, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor, to IMAJH or its assignee in writing, we will, at any time, upon the request and at the expense of IMAJH, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid IMAJH to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of IMAJH.

We hereby authorize and request the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said applications, or from any division, reissue or extension thereof, to IMAJH, or to its assignee in writing.

This Assignment shall enure to the benefit of and be binding upon my heirs, executors, personal legal representatives and assigns, and shall enure to the benefit of IMAJH, its successors, assigns and other legal representatives.

IN TESTIMONY WHEREOF I have hereunto set my hand:

this 21 day of fingust, 2001

Michael A. Adams

IN TESTIMONY WHEREOF I have hereunto set my hand:

this <u>W</u> day of _______, 200

Jeremy P.W. Heaton

CERTIFICATE

City of Kingston Province of Ontario))			
On this	21	day of	Angust	2001, Michael A. Adams appeared
before me in person	and ackno	wledged the	above to be h	is signature, and that he signed and
delivered the above in	nstrument	as his volunta	ry act and dee	d for the purposes herein set forth.
			Stankov	J. Sailes
			Notary	ı J. Scribner Public
			•	e of Ontario
City of Kingston Province of Ontario))		Fronten: attestati for PAR	Joseph Scribner, Notary Public, ac Board of Management, limited to the on of instruments and the taking of affidavits, TEQ R & D Innovations. January 9, 2004
On this $\underline{2}$	A	day of <u></u>	gust	2001, Jeremy P.W. Heaton appeared
before me in person	and ackno	owledged the	above to be h	is signature, and that he signed and
delivered the above i	nstrument	as his volunta	ry act and dee	ed for the purposes herein set forth.

Stephen J. Scribner Notary Public Province of Ontario

Stephen Joseph Scribner, Notary Public. Frontenac Board of Management, limited to the attestation of instruments and the taking of affidavits, for PARTEQ R & D Innovations. Expires January 9, 2004